

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491**

401484

U.S. APPLICATION NO.

10/009636

INTERNATIONAL APPLICATION NO.
PCT/CH00/00417

INTERNATIONAL FILING DATE
August 4, 2000

PRIORITY DATE CLAIMED
August 9, 1999

TITLE OF INVENTION


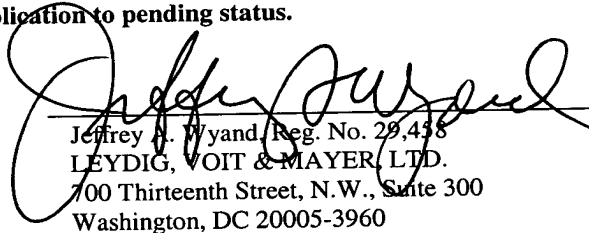
ELECTRIC AXIAL FLOW MACHINE

APPLICANT(S) FOR DO/EO/US

KNORZER ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.
 3. ☒ This is an express request to begin national examination procedures (35 USC 371(f)).
 4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
 5. ☒ A copy of the International Application as filed (35 USC 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 6. ☐ An English language translation of the International Application as filed (35 USC 371(c)(2)).
 7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
 8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
 9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)).
 10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).
 11. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies
- Items 12 to 19 below concern other document(s) or information included:**
12. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
 - ☒ Form PTO-1449
 - ☒ Copies of Listed Documents
 13. ☒ An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 14. ☒ A FIRST preliminary amendment.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
 15. ☐ A substitute specification.
 16. ☐ A change of power of attorney and/or address letter.
 17. ☒ Application Data Sheet Under 37 CFR 1.76
 18. ☒ Return Receipt Postcard
 19. ☒ Other items or information: Drawings (4 sheets)

U.S. APPLICATION NO. 10/009636		INTERNATIONAL APPLICATION NO. PCT/CH00/00417		ATTORNEY DOCKET NO. 401484																																	
20. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT= \$890.00 Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date \$ <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>11</td> <td>-20=</td> <td></td> <td>x \$ 18.00</td> <td>\$</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 =</td> <td></td> <td>x \$ 84.00</td> <td>\$</td> </tr> <tr> <td colspan="4"><input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)</td> <td>+\$280.00</td> <td>\$</td> </tr> </tbody> </table> TOTAL OF ABOVE CALCULATIONS= \$890.00 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. \$445.00 SUBTOTAL= \$445.00 Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date. \$ TOTAL NATIONAL FEE= \$445.00 Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property + \$40.00 TOTAL FEE ENCLOSED= \$485.00 <table border="1" style="width:100%; border-collapse: collapse;"> <tr> <td style="width:80%;">Amount to be:</td> <td></td> </tr> <tr> <td>refunded</td> <td>\$</td> </tr> <tr> <td>charged:</td> <td>\$</td> </tr> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	11	-20=		x \$ 18.00	\$	Independent Claims	1	- 3 =		x \$ 84.00	\$	<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$280.00	\$	Amount to be:		refunded	\$	charged:	\$	CALCULATIONS		PTO USE ONLY	
				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																														
Total Claims	11	-20=		x \$ 18.00	\$																																
Independent Claims	1	- 3 =		x \$ 84.00	\$																																
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+\$280.00	\$																																
Amount to be:																																					
refunded	\$																																				
charged:	\$																																				
a. <input checked="" type="checkbox"/> A check in the amount of \$485.00 to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;">  23548 <small>PATENT TRADEMARK OFFICE</small> </div> <div style="text-align: center;">  Jeffrey A. Wyand, Reg. No. 29,438 LEYDIG, VOIT & MAYER, LTD. 700 Thirteenth Street, N.W., Suite 300 Washington, DC 20005-3960 (202) 737-6770 (telephone) (202) 737-6776 (facsimile) Date: <u>December 14, 2001</u> </div> </div>																																					

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

12/20/2001 LLANDGRA 00000102 10009636

01 FC:971

445.00 OP